

# MAPPING DIVERSITY OF PLANT COMMUNITIES IN AMAZONIAN RAINFOREST WITH SENTINEL-2 SATELLITE IMAGERY.

JEAN-BAPTISTE FERET, UMR TETIS, INRAE ([JB.FERET@TELEDETECTION.FR](mailto:JB.FERET@TELEDETECTION.FR))

ALEXANDRE DEFOSSEZ, UMR ESPACE-DEV, IRD ([ALEXANDRE.DEFOSSEZ@IRD.FR](mailto:ALEXANDRE.DEFOSSEZ@IRD.FR))

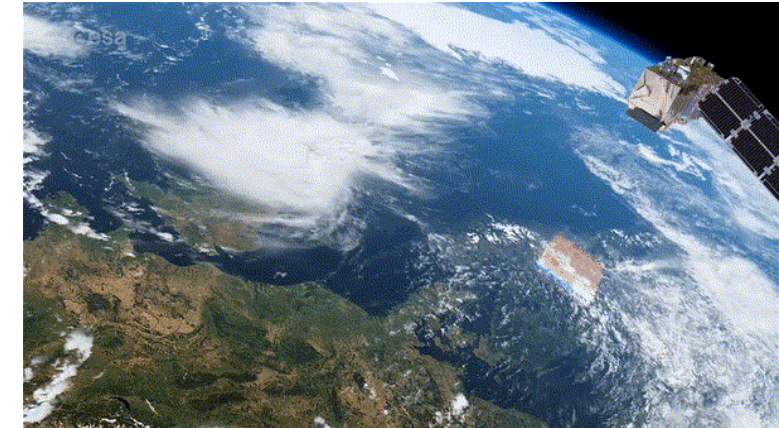


# CONTEXT OF THE APPLICATION

- Increasing pressure put on Amazonian tropical rainforest
  - Degradation and deforestation for natural resources and agriculture
  - Adaptation to climate change
- Increasing capacity for remotely sensed monitoring of tropical ecosystems
  - Satellite constellations acquire data with high temporal frequency: Copernicus, Landsat, Planet...
  - Methodological developments allow taking advantage of this information
- Number of challenges for operational forest monitoring
  - Which sensors, which methods?
  - What type of relevant information to support forest stakeholders and decision making

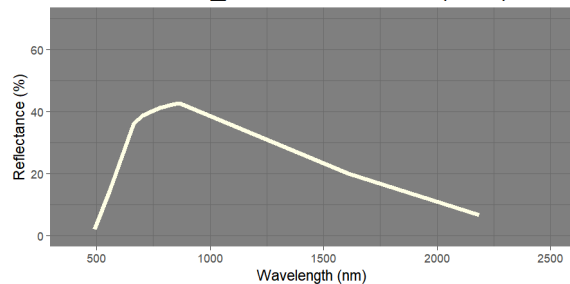
# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : ASSETS AND CHALLENGES OF OPTICAL IMAGERY

- Remotely sensed information to be used: Sentinel-2 images
  - 10 m spatial resolution
  - 5-days revisit
  - 10 spectral bands in the visible & infrared domains
  - Open access
  - Sensitivity of optical information to various vegetation traits (leaf & canopy) :



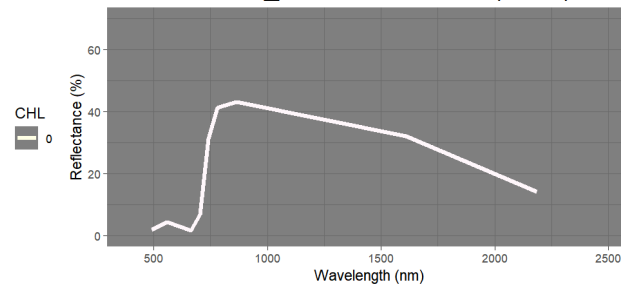
## Leaf chlorophyll content

Sentinel\_2: Reflectance = f(CHL)



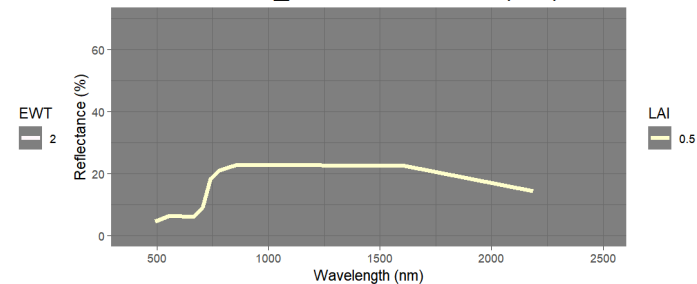
## Leaf water content

Sentinel\_2: Reflectance = f(EWT)



## Leaf Area Index

Sentinel\_2: Reflectance = f(LAI)



# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : ASSETS AND CHALLENGES OF OPTICAL IMAGERY

- Remotely sensed information to be used: Sentinel-2 images
  - 10 m spatial resolution
  - 5-days revisit
  - 10 spectral bands in the visible & infrared domains
  - Open access
  - Sensitivity of optical information to various vegetation traits
- Challenges with Sentinel-2 image processing in tropical context
  - Very high and consistent cloud cover

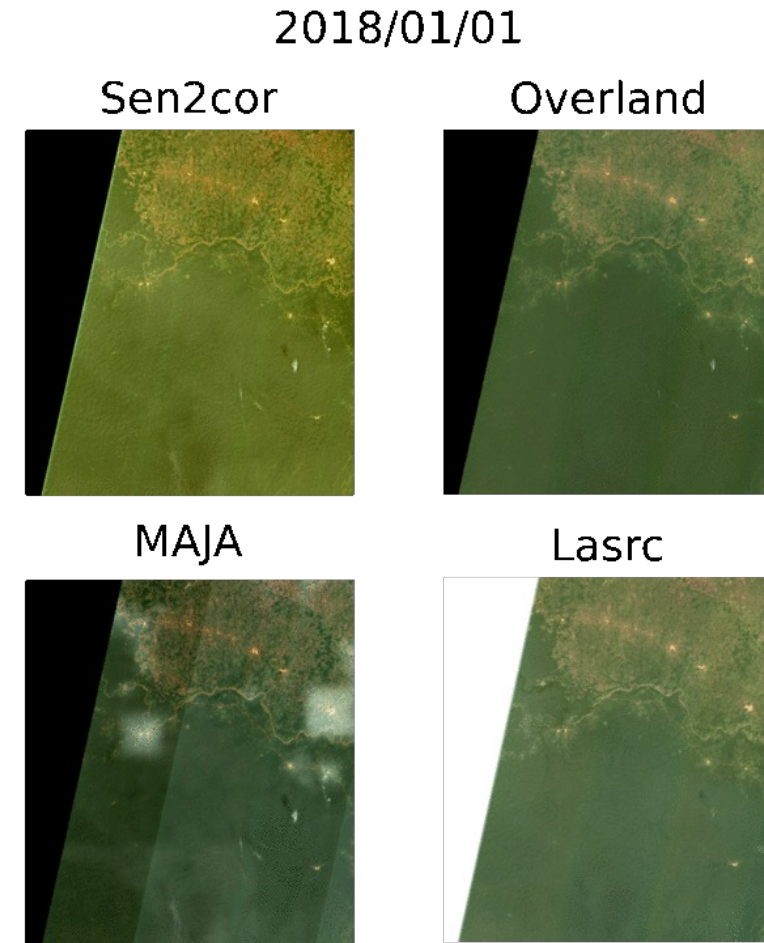


Mosaic of tiles with minimum cloud cover over French Guiana in 2017

# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD :

## ASSETS AND CHALLENGES OF OPTICAL IMAGERY

- Remotely sensed information to be used: Sentinel-2 images
  - 10 m spatial resolution
  - 5-days revisit
  - 10 spectral bands in the visible & infrared domains
  - Open access
  - Sensitivity of optical information to various vegetation traits
- Challenges with Sentinel-2 image processing in tropical context
  - Very high and consistent cloud cover
  - Atmospheric correction methods (ACM) do not perform consistently in time

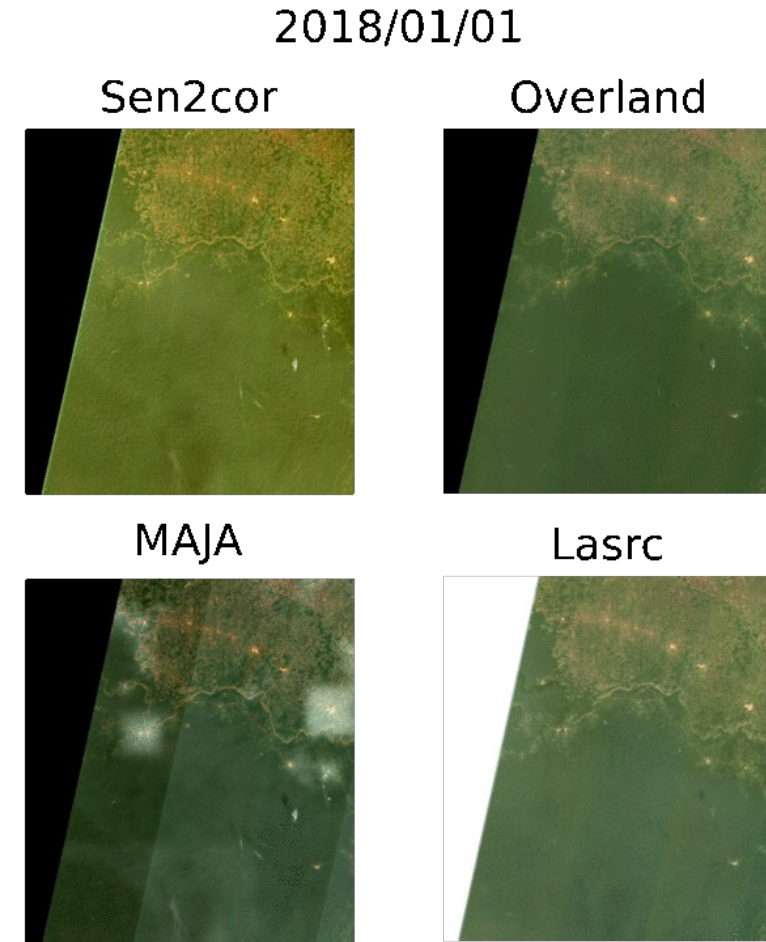


Comparison of 4 ACM applied on S2  
time series  
(tile 33NVE, Cameroon)

# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD :

## ASSETS AND CHALLENGES OF OPTICAL IMAGERY

- Remotely sensed information to be used: Sentinel-2 images
  - 10 m spatial resolution
  - 5-days revisit
  - 10 spectral bands in the visible & infrared domains
  - Open access
  - Sensitivity of optical information to various vegetation traits
- Challenges with Sentinel-2 image processing in tropical context
  - Very high and consistent cloud cover
  - **Need to find methods to fill spatial gaps caused by clouds**
  - Atmospheric correction methods (ACM) do not perform consistently in time
  - **Need to identify ACM with good consistency in time**



Comparison of 4 ACM applied on S2  
time series  
(tile 33NVE, Cameroon)

# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : METHODOLOGICAL FRAMEWORK


- Key hypothesis and core method
  - Spectral Variation Hypothesis applied to high spatial resolution images :  
→ Spatial variability of spectral information can be linked to biological diversity
  - A variety of methods exist to relate spectral information to biodiversity

## APPLICATION

### **biodivMapR: An R package for $\alpha$ - and $\beta$ -diversity mapping using remotely sensed images**

Jean-Baptiste Féret  | Florian de Boissieu 

<https://jbferet.github.io/biodivMapR/index.html>


Methods in Ecology and Evolution 



**ECOLOGY LETTERS**  
Ecology Letters, (2020) 23: 370–380 doi: 10.1111/ele.13429



**METHOD** Partitioning plant spectral diversity into alpha and beta components  
Etienne Laliberté,<sup>1,2\*</sup>  Anna K. Schweiger<sup>1,2</sup>  and Pierre Legendre<sup>2</sup>

<https://github.com/elaliberte/specdiv>

Methods in Ecology and Evolution 

APPLICATION |  Open Access  

### **rasterdiv—An Information Theory tailored R package for measuring ecosystem heterogeneity from space: To the origin and back**

Duccio Rocchini , Elisa Thouveral, Matteo Marcantonio, Martina Iannacito, Daniele Da Re, Michele Torresani, Giovanni Bacaro, Manuele Bazzichetto, Alessandra Bernardi,  Giles M. Foody, Reinhard Furrer, David Kleijn, Stefano Larsen, Jonathan Lenoir, Marco Malavasi, Elisa Marchetto, Filippo Messori, Alessandro Montagni, Vítězslav Moudrý, Babak Naimi, Carlo Ricotta, Nicol Rossini, Francesco Santi, Maria J. Santos, Michael E. Schaepman, Fabian D. Schneider, Leila Schuh, Sonia Silvestri, Petra Šimová, Andrew K. Skidmore, Clara Tattoni, Enrico Tordoni, Saverio Vicario, Piero Zannini, Martin Wegmann ... [See fewer authors](#) ^

<https://github.com/mattmar/rasterdiv>

# DEFINITION OF ALPHA & BETA DIVERSITY

- **α-diversity** provides information on the **local species richness and/or abundance**
- **β-diversity** provides information on the **variation in community composition** along environmental gradients or according to a spatial or temporal pattern of communities

Shannon Index:

$$H' = - \sum_{i=1}^S p_i \log_2 p_i$$

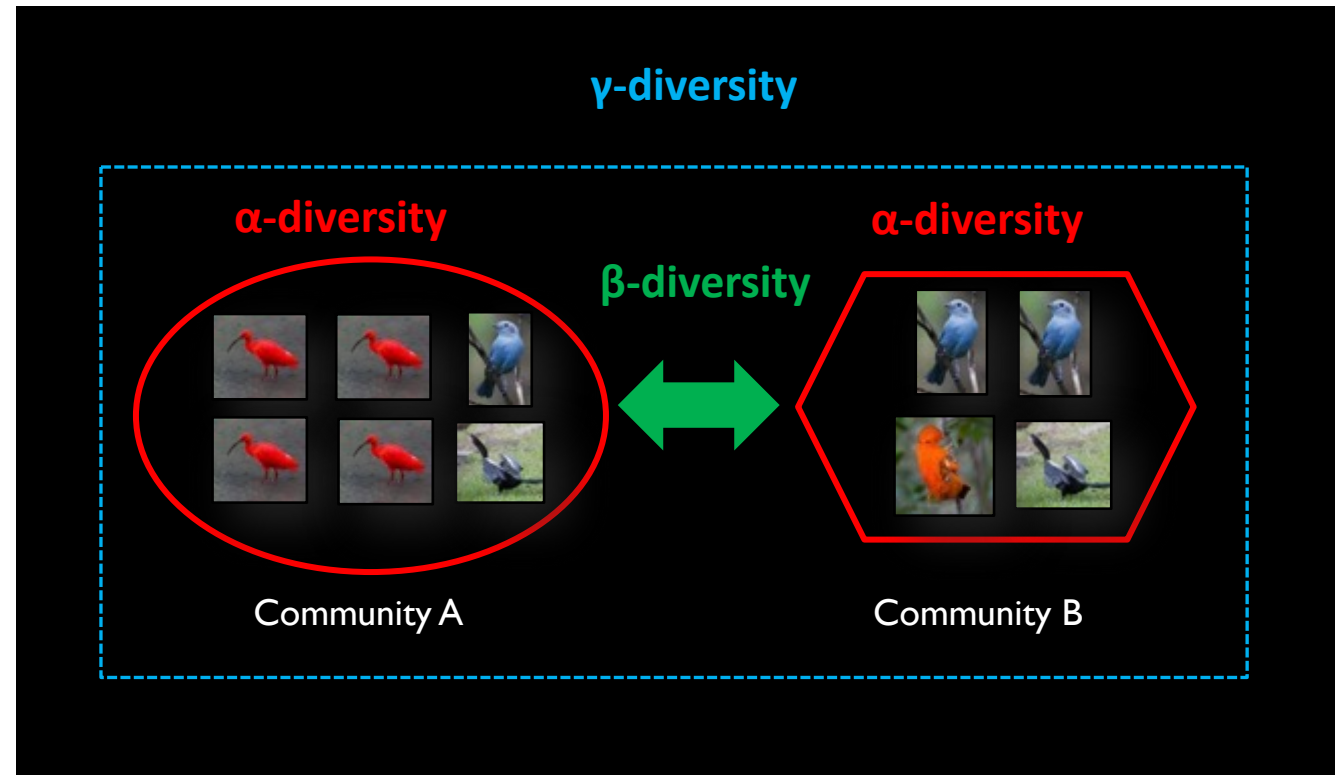
S : Species Richness, the total number of species in the environment

with  $p(i) = n_i / N$

$p(i)$  : The proportion of a species  $i$  in the environment.

$n_i$  : The number of individuals of species  $i$

$N$  : The total number of individual including all species



Bray-Curtis dissimilarity:

$$BC_{jk} = 1 - \frac{2 \sum_{i=1}^p \min(N_{ij}, N_{ik})}{\sum_{i=1}^p (N_{ij} + N_{ik})}$$

$N_{ik}$ : The abundance of a species  $i$  in a sample  $k$

$N_{ij}$  : The abundance of a species  $i$  in a sample  $j$

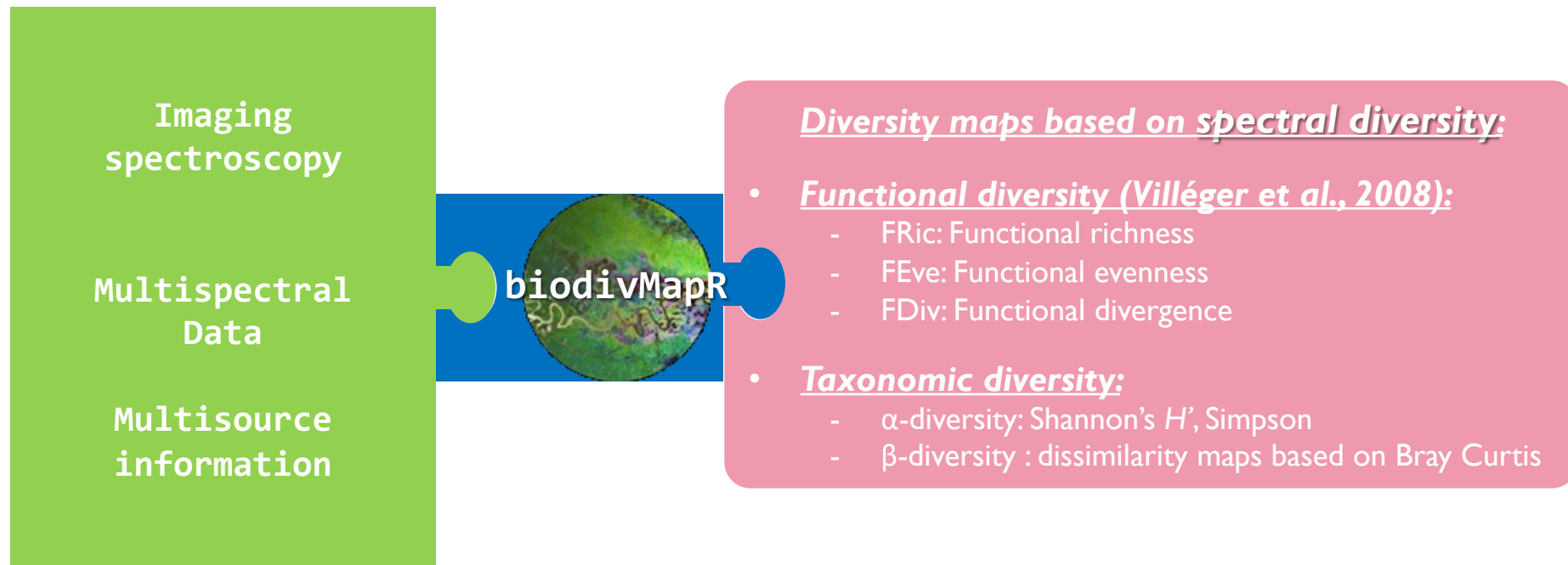
$\min (...)$  : for a species  $i$  present in  $j$  and  $k$ , the minimum count of individuals between  $j$  and  $k$ .

$p$  : Total number of species



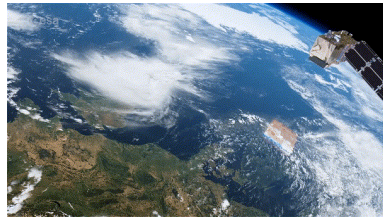
# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : METHODOLOGICAL FRAMEWORK

- Key hypothesis and core method
  - Spectral Variation Hypothesis applied to high spatial resolution images :
    - Spatial variability of spectral information can be linked to biological diversity
  - A variety of methods exist to relate spectral information to biodiversity
  - **biodivMapR**: map spectral diversity metrics corresponding to  $\alpha$ -diversity and  $\beta$ -diversity



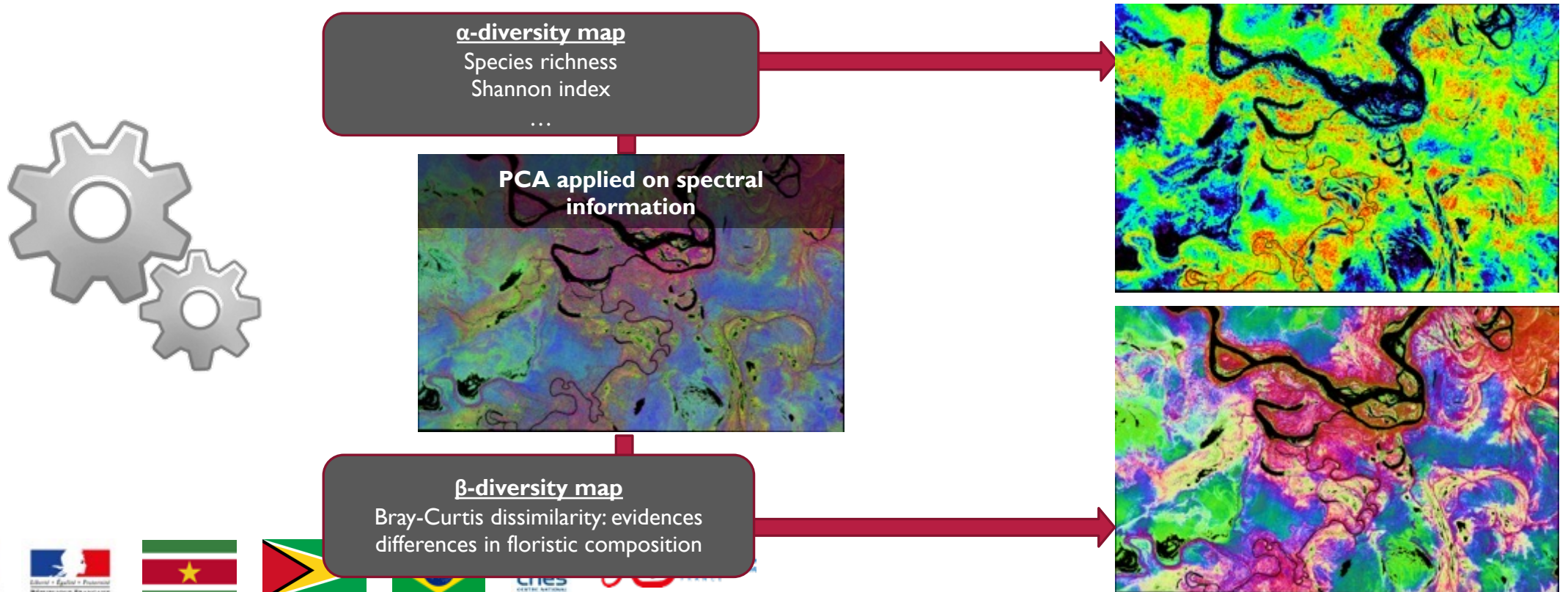
# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : METHODOLOGICAL FRAMEWORK

- Key hypothesis and core method
  - Spectral Variation Hypothesis applied to high spatial resolution images :  
→ Spatial variability of spectral information can be linked to biological diversity
  - A variety of methods exist to relate spectral information to biodiversity
  - **biodivMapR**: map spectral diversity metrics corresponding to  $\alpha$ -diversity and  $\beta$ -diversity



# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : METHODOLOGICAL FRAMEWORK

- Key hypothesis and core method
  - Spectral Variation Hypothesis applied to high spatial resolution images :  
→ Spatial variability of spectral information can be linked to biological diversity
  - A variety of methods exist to relate spectral information to biodiversity
  - **biodivMapR**: map spectral diversity metrics corresponding to  $\alpha$ -diversity and  $\beta$ -diversity



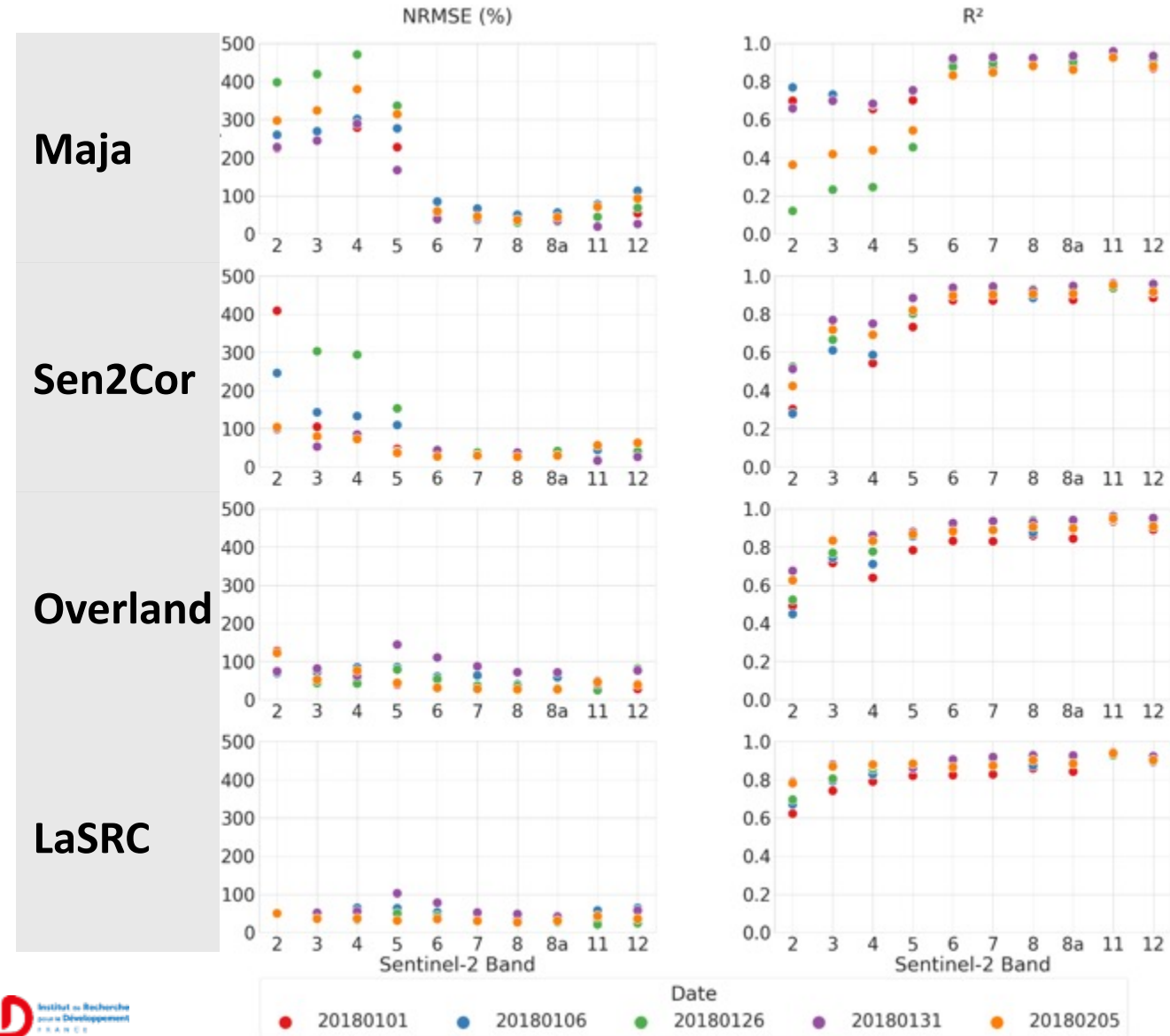
# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : METHODOLOGICAL FRAMEWORK

- Key hypothesis and core method
  - Spectral Variation Hypothesis applied to high spatial resolution images :
    - Spatial variability of spectral information can be linked to biological diversity
  - A variety of methods exist to relate spectral information to biodiversity
  - **biodivMapR**: map spectral diversity metrics corresponding to  $\alpha$ -diversity and  $\beta$ -diversity
- How to reduce uncertainty associated with atmospheric corrections ?
- How to apply biodivMapR in the context of strong cloud cover and produce continuous maps ?

# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : ATMOSPHERIC CORRECTIONS FOR CONSISTENCY THROUGH TIME

- Method intercomparison (Chraibi et al., 2022)
- Maja & Sen2Cor show temporal inconsistency in the VIS domain
- LaSRC shows better temporal consistency over the VIS-NIR-SWIR domain
- Spectral indices show good temporal consistency, with limited bias between acquisitions

→ The package **sen2lasrc** (F. de Boissieu, 2022) was developed to allow atmospheric correction of Sentinel-2 with LaSRC



# MONITORING TROPICAL FOREST OVER THE GUIANA SHIELD : PRODUCTION OF IMAGE COMPOSITES FOR SPECTRAL INDICES

- Spatial gap filling performed based on a time series of selected spectral indices
- Application of biodivMapR on spectral indices composites in order to map forest diversity
- Development and validation performed by Alexandre Defosse, funded by PROGYSAT

# METHODOLOGICAL FRAMEWORK

- Are Sentinel-2 images (S2) usable for estimating spectral diversity in the Guiana Shield?
- Is spectral diversity spatially coherent with forest diversity estimated from field?

→ To answer these questions, we developed a 3-steps processing workflow :

**Step 1**  
Spectral index composite images

- **Climatically & phenologically coherent** period for a given year: « season »
- **Temporally stable**: spectral indices



**Step 2**  
Spectral diversity metrics

Spatial heterogeneity of spectral information:  
 $\alpha$ -diversity &  $\beta$ -diversity

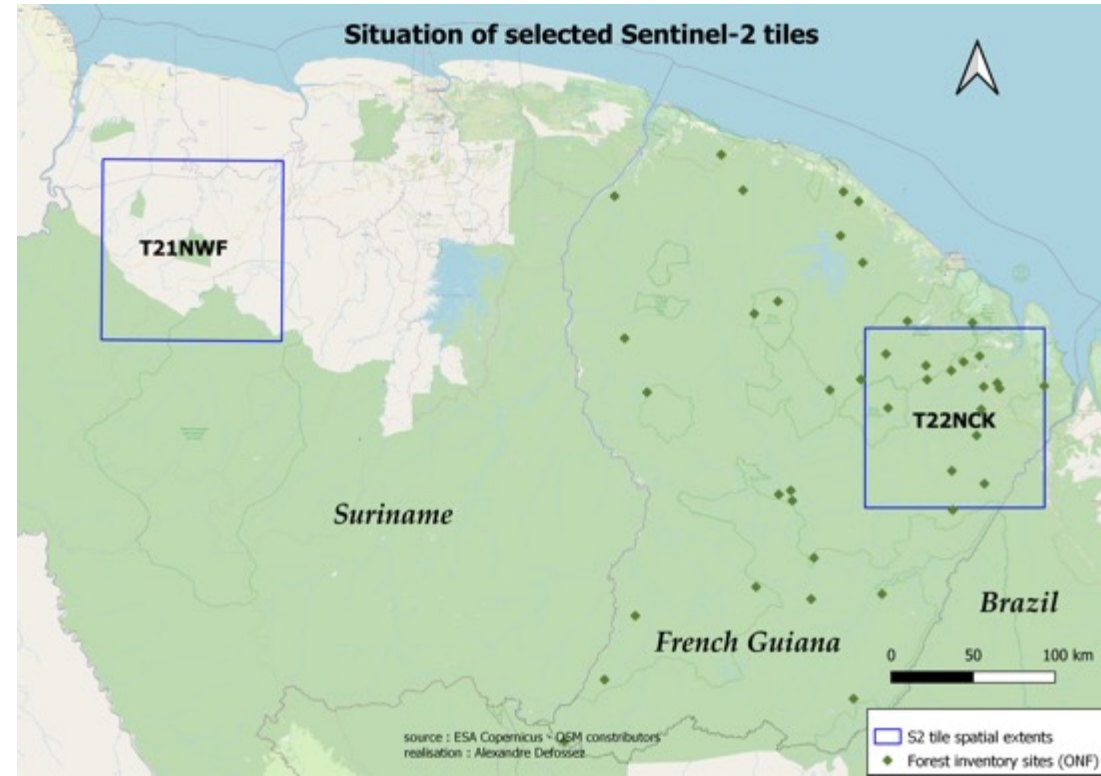


**Step 3**  
Validation with field data

Comparison of spectral diversity metrics with taxonomic diversity derived from plot inventories based on exact spatial extent

# AVAILABLE DATA SETS & METHODS

- **Sentinel-2 tiles** : regions with contrasted cloudiness through Guiana Shield:
  - **T21NWF (Suriname)**: lower cloud cover
  - **T22NCK (French Guiana)**: higher cloud cover, forest inventory data available for validation
- **Forest inventories** from « Habitats » program of French National Forest Office (ONF):
  - 42 sites inventoried over French Guiana
  - 20 types of forest habitats identified
- **sen2lasrc**: atmospheric correction with LaSRC & masks (waterbodies, clouds, shades, urban...)
- **R packages**:
  - *preprocS2*: S2 image preprocessing, possibility to download
  - *spinR*: computation of spectral indices from S2 images
  - *biodivMapR*: spectral diversity indices & validation method



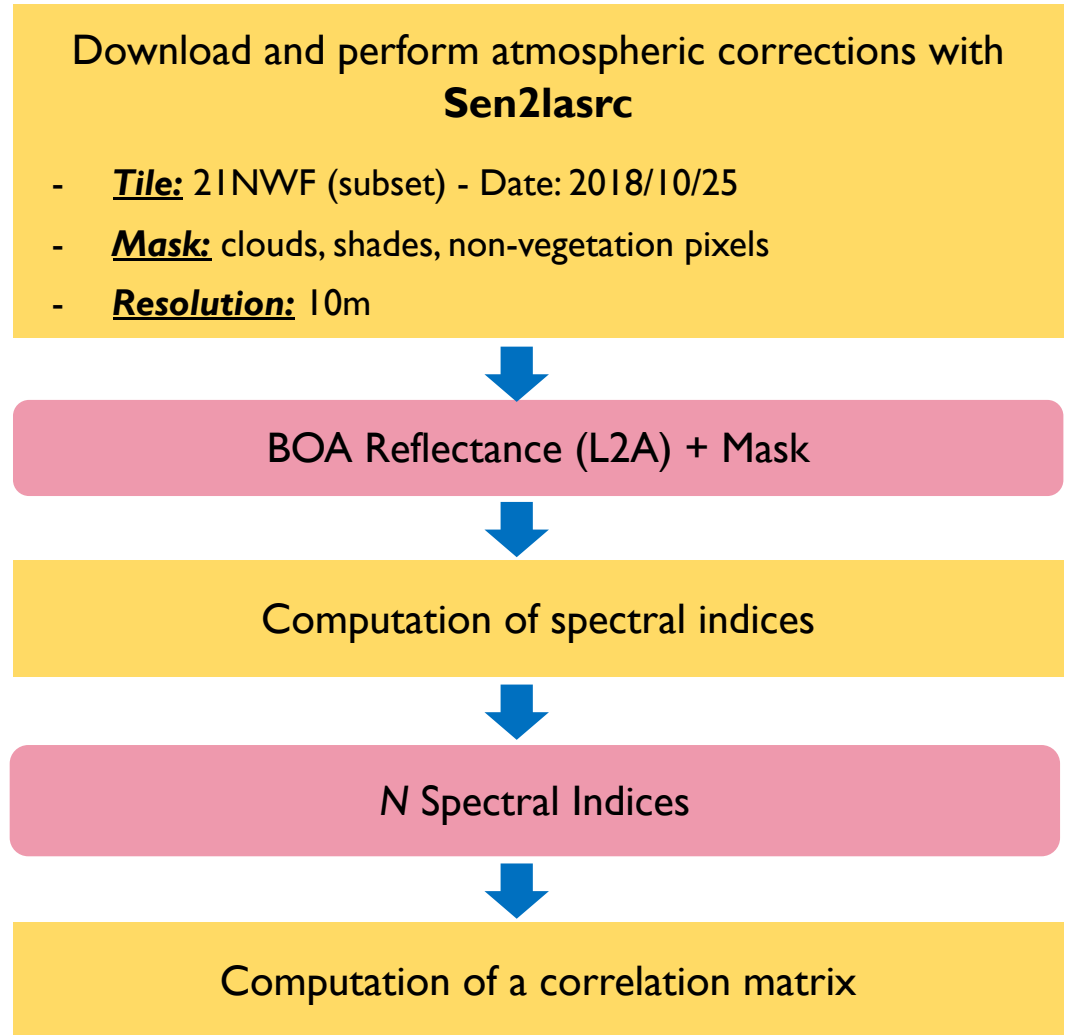


# COMPUTE & SELECT SPECTRAL INDICES

- Selection of a cloudless acquisition in a tile's subset
- Computing spectral indices with *spinR*
- Examination of correlation matrix
- Spectral indices selection : **avoid redundancy and focus on well documented indices**

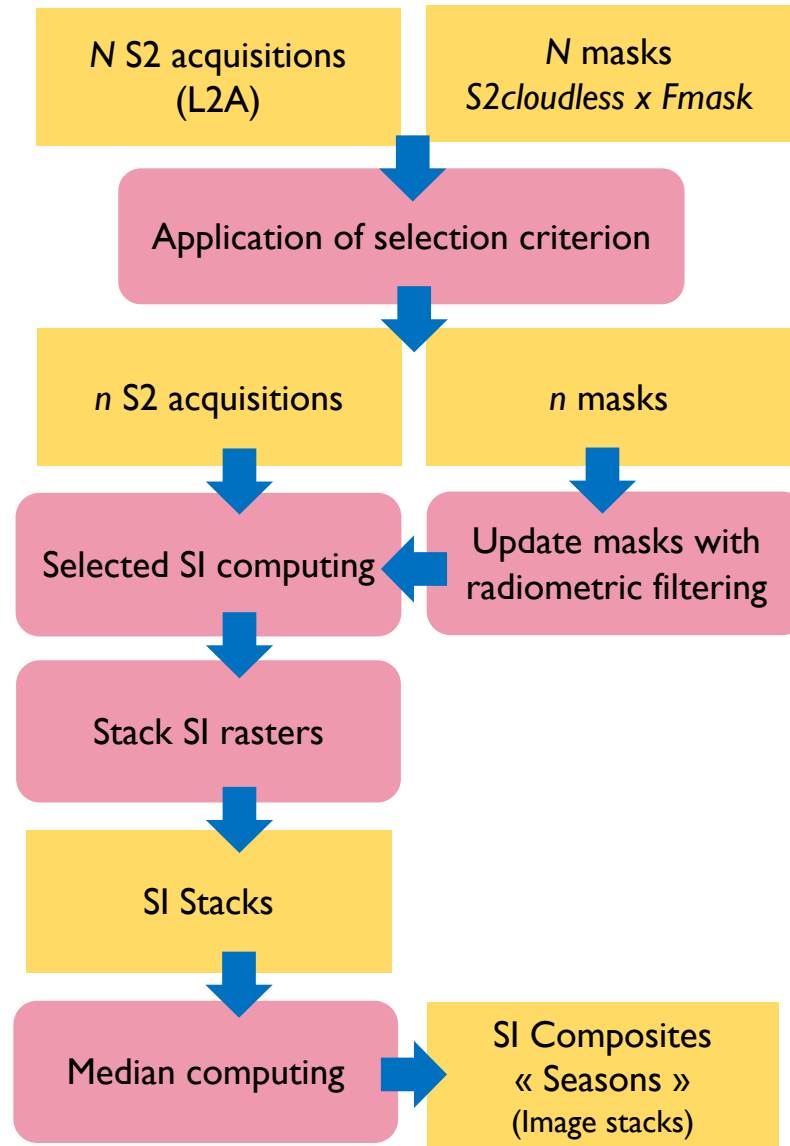
<b>EVI</b>	<i>Enhanced Vegetation Index</i>
<b>CRII</b>	<i>Carotenoid Reflectance Index 1</i>
<b>NDVI</b>	<i>Normalized Difference Vegetation Index</i>
<b>CR_SWIR</b>	<i>Continuum Removal Short Wave Infra Red</i>

Spectral indices selection



# STEP I: PRODUCTION OF COMPOSITE IMAGE

- A criterion is define to select S2 acquisitions
- Median of EVI, CR11, NDVI & CR\_SWIR is computed for each pixel of the raster stack



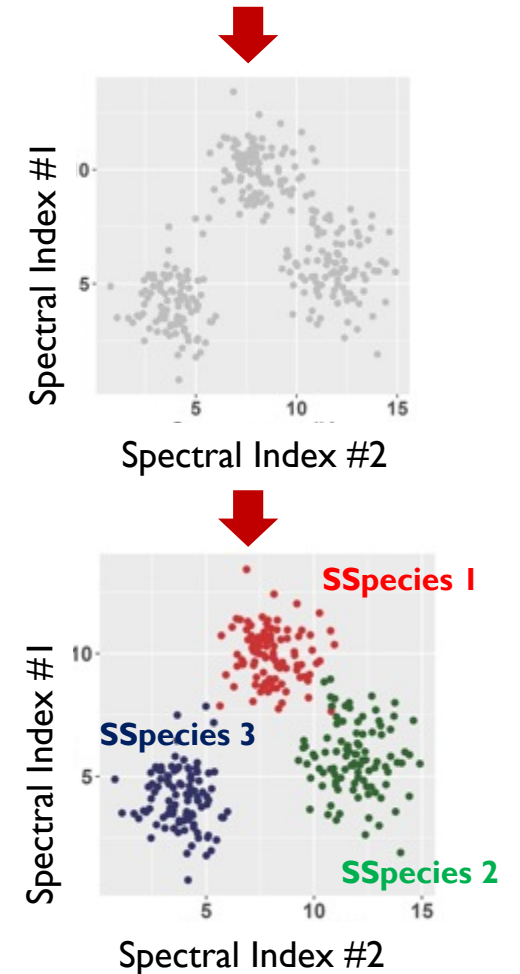
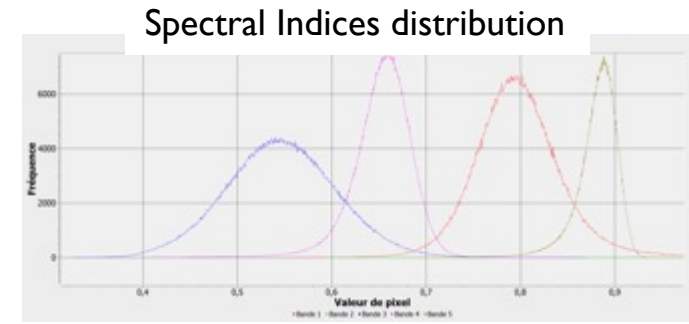
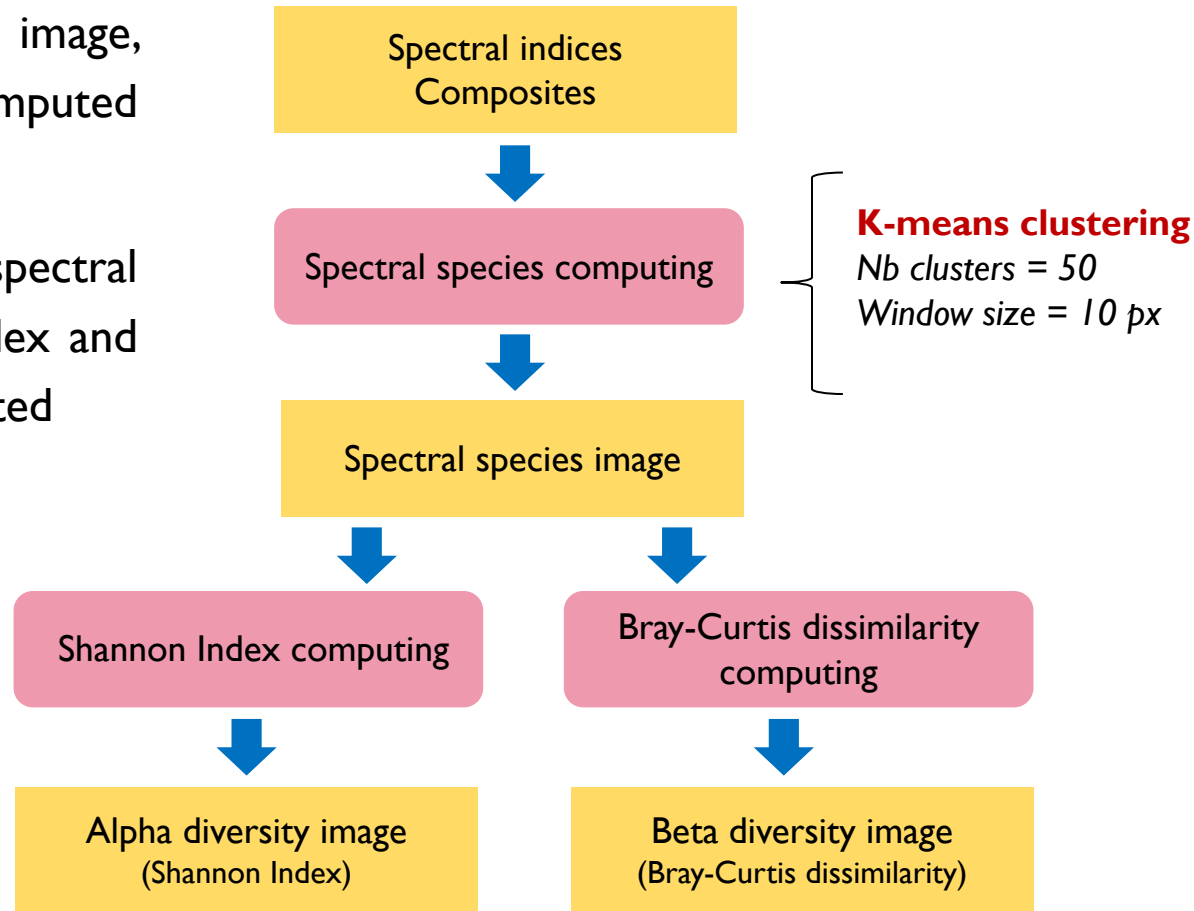
Sept/Oct  
Max cloudiness = 30%

Minimum 6 acquisitions  
Clear pixels ≥ 80 %

Residual clouds & shades :  
Blue > 500  
NIR > 2000

# STEP 2: MAP SPECTRAL DIVERSITY

- From SI composite image, « spectral species » are computed for each pixel
- In windows of 100m<sup>2</sup> of spectral species raster, Shannon index and BC dissimilarity are computed

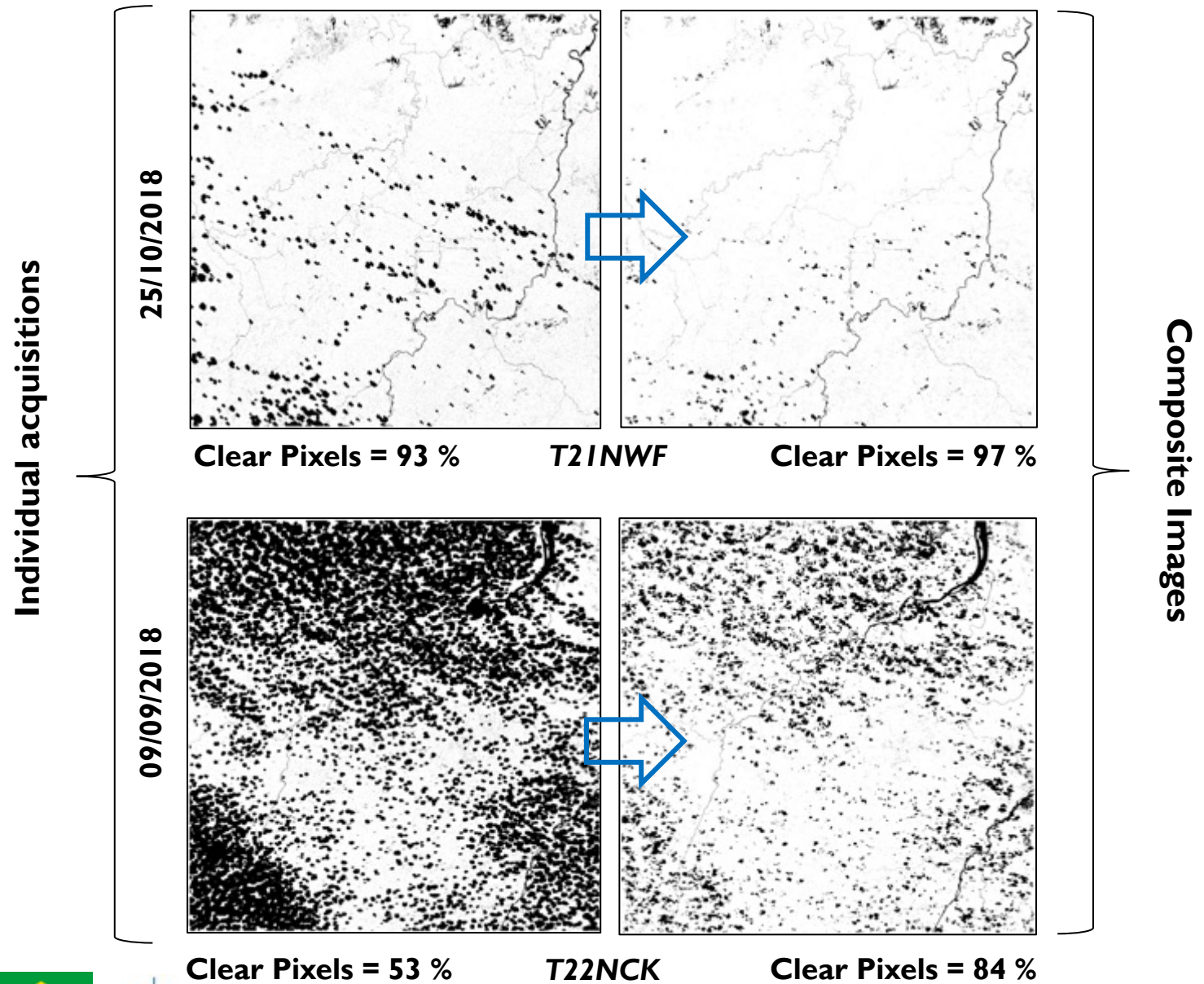


# REDUCTION OF CLOUDINESS RATES WITH COMPOSITE IMAGES

- Tiles with contrasted cloudiness contexts
- **“Best” individual acquisition images vs composite images**

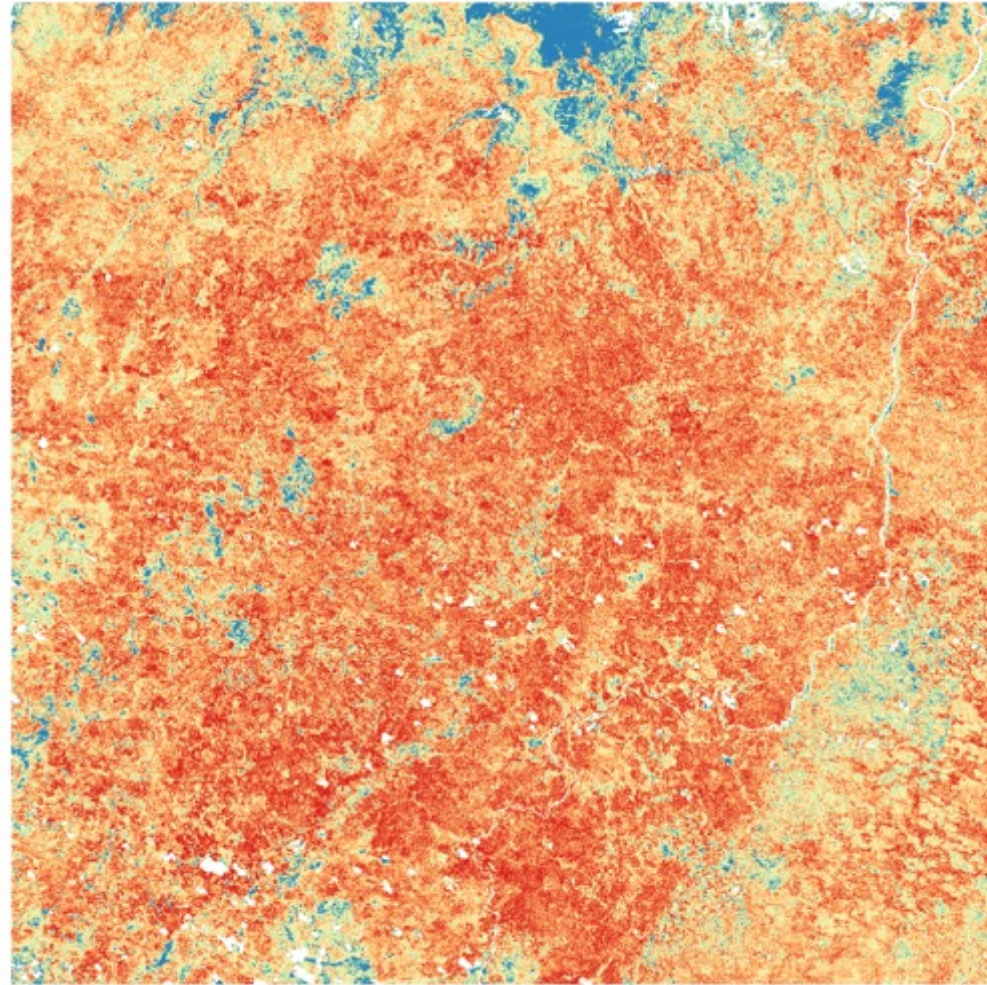
(Season 2018)

→ Production of composite images allows to reduce significantly the cloudiness rates, especially in high cloudiness contexts

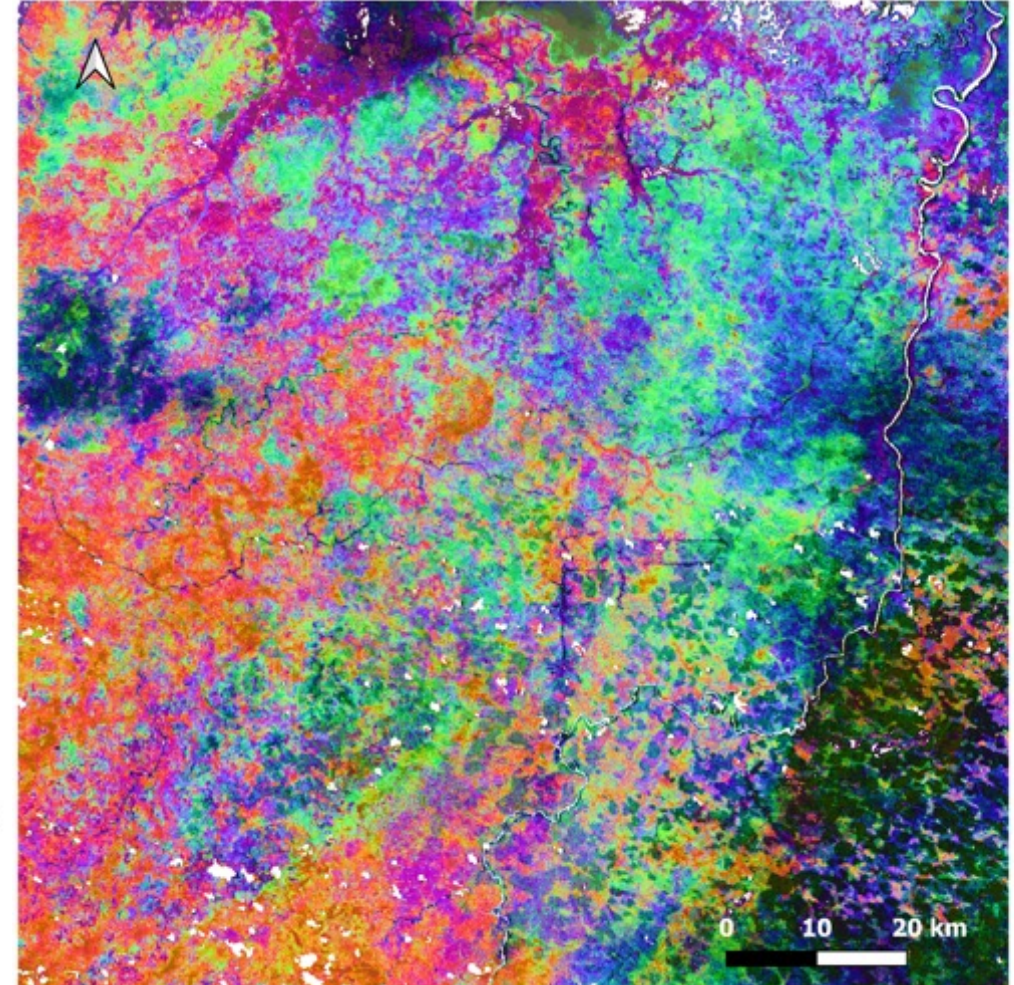


# ALPHA & BETA DIVERSITY MAPS

→ Tile 2INWF: « low » cloudiness situation



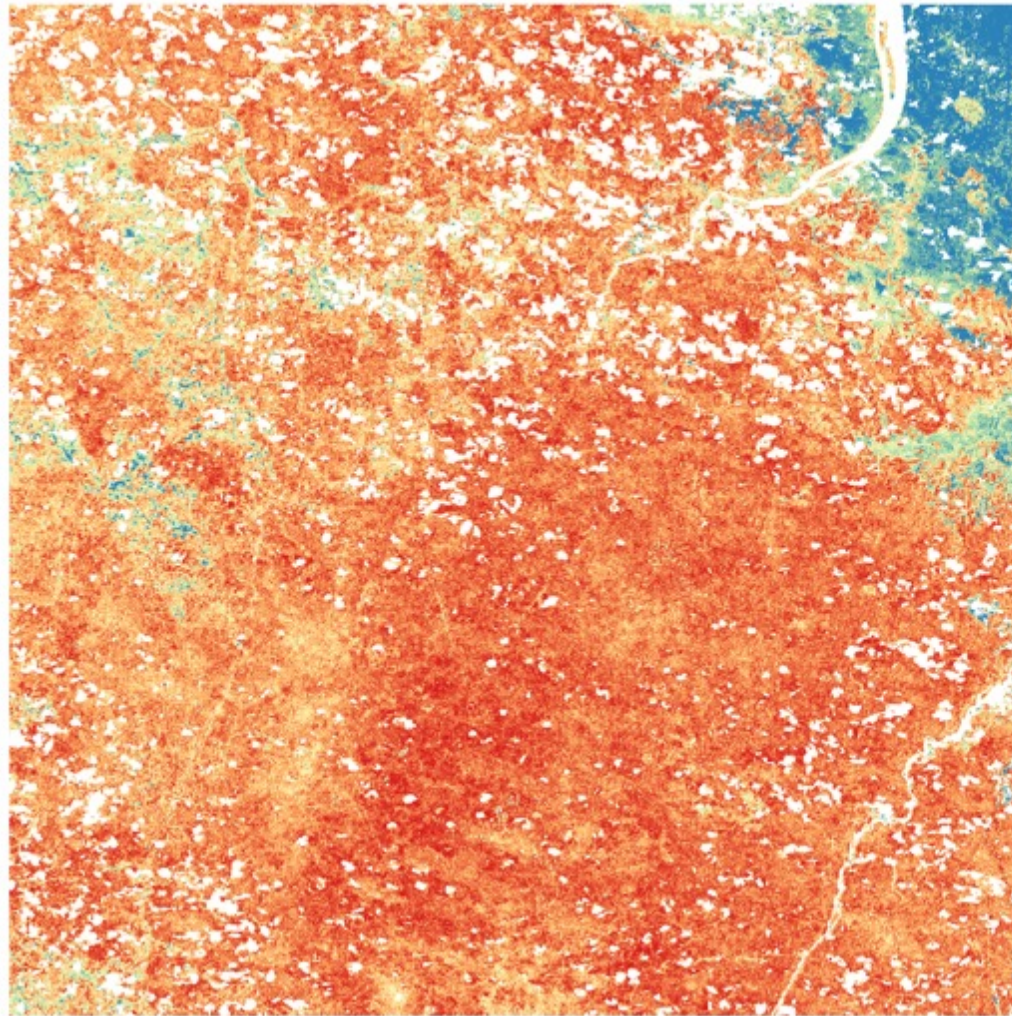
$\alpha$ -diversity



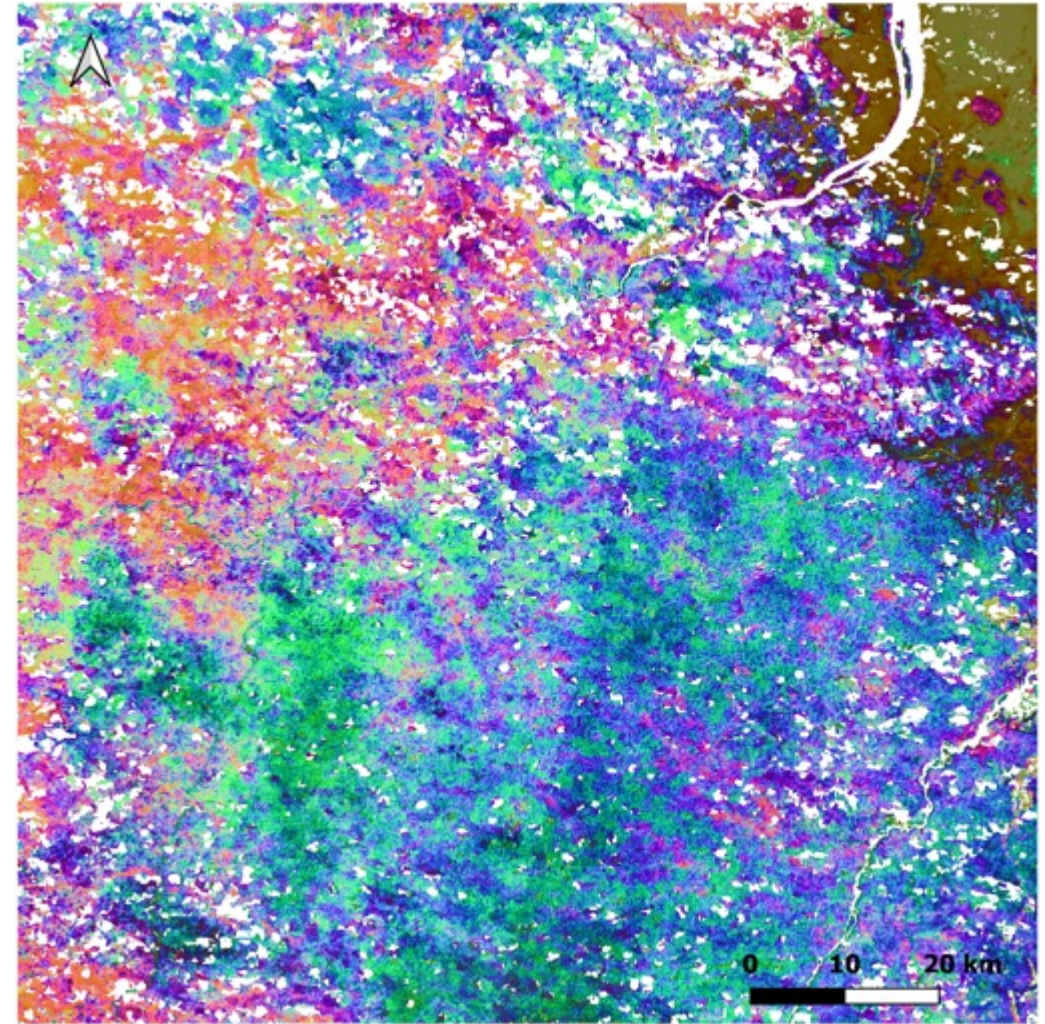
$\beta$ -diversity

# ALPHA & BETA DIVERSITY MAPS

→ Tile 22NCK: « high » cloudiness situation



$\alpha$ -diversity



$\beta$ -diversity

# STEP 3: VALIDATION

- Validation framework from *biodivMapR*
- Discrimination of vegetation « types » or « communities »
- « **Community** »: *in ecology, a group or association of populations of two or more different species occupying the same geographical area at the same time* (Wikipedia)
- We try to identify contrasted communities from spectral diversity maps (expert interpretation)
- Clusters visualization on the PCoA axis ( $\beta$ -diversity) and individual point size ( $\alpha$ -diversity)

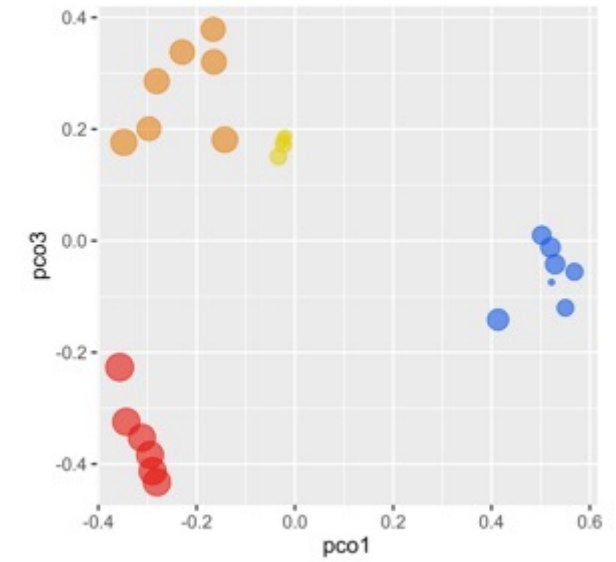
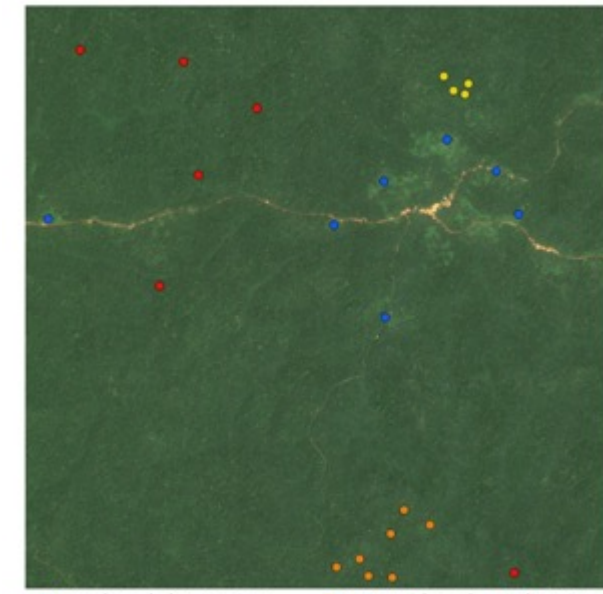
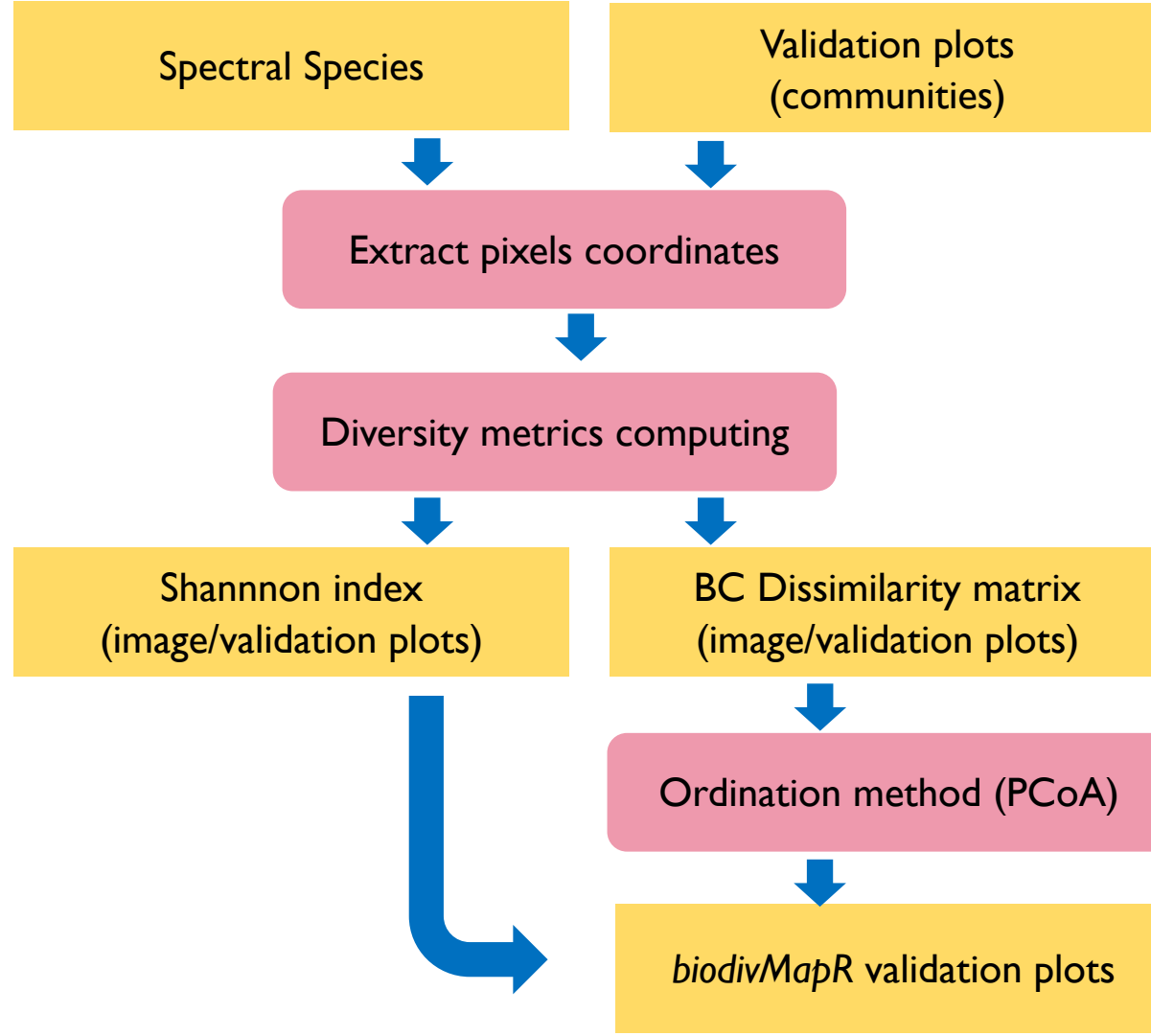


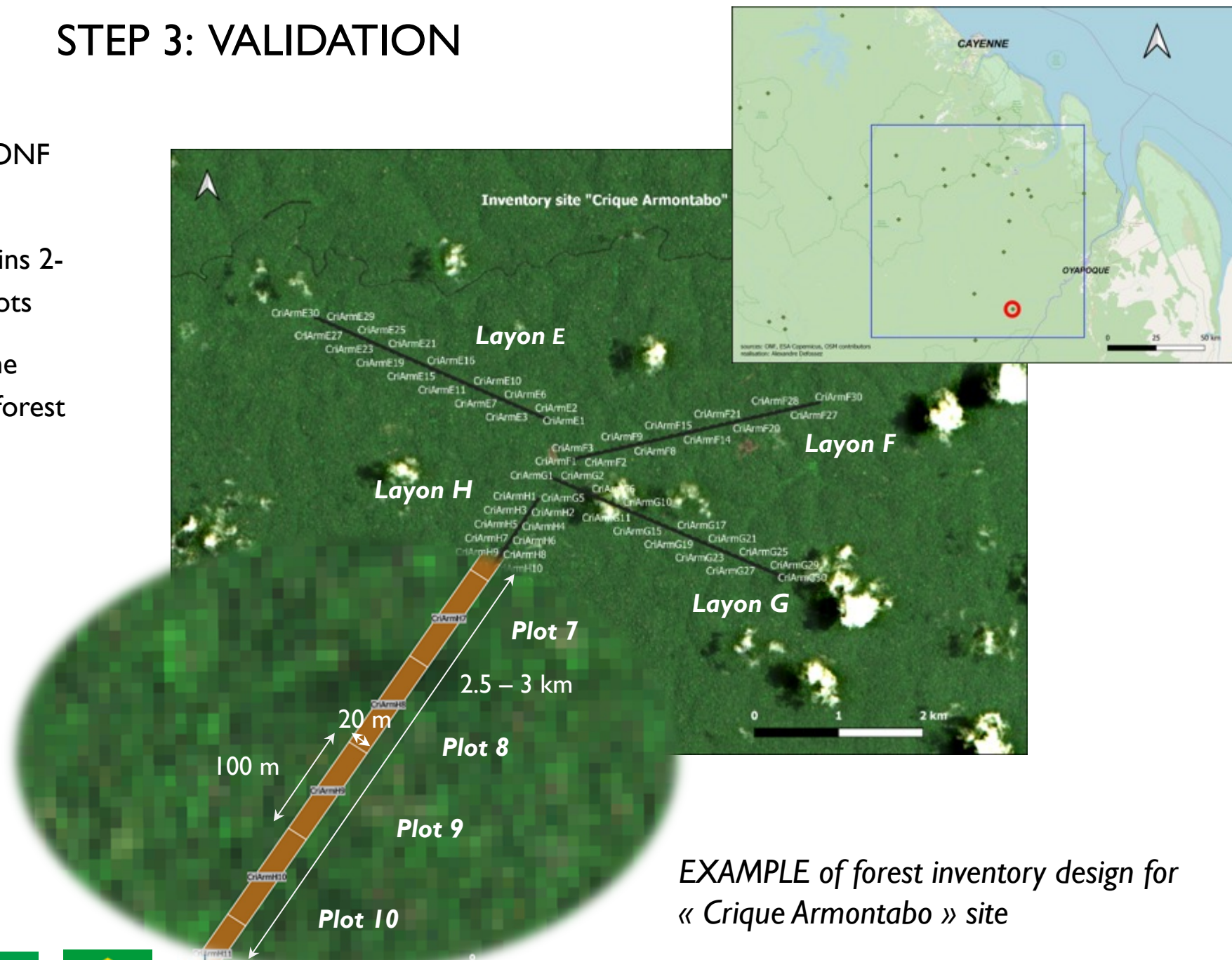
Image and plot from *biodivMapR* tutorial  
[https://jbferet.github.io/biodivMapR/articles/biodivMapR\\_08.html](https://jbferet.github.io/biodivMapR/articles/biodivMapR_08.html)

# STEP 3: VALIDATION

- Definition of validation plots based on ONF forest inventory network
- In T22NCK : 15 sites → each site contains 2-4 « layons » (transects) with ~ 20-30 plots
- Plots were aggregated by « layon » (same location) and « habitat » (same type of forest habitat)

→ Final set of validation plots :

**72 plots with a given habitat type and « layon »**

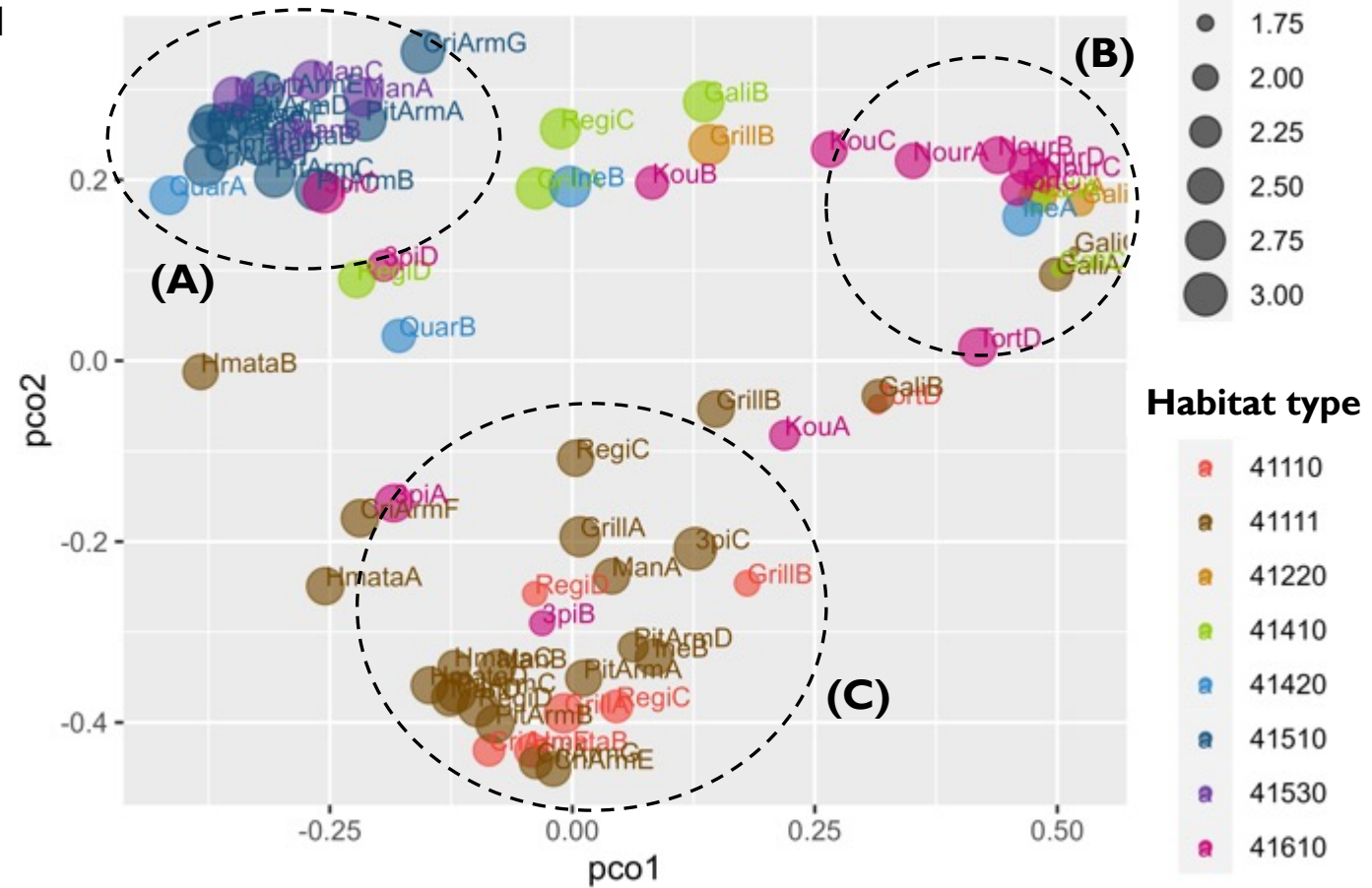
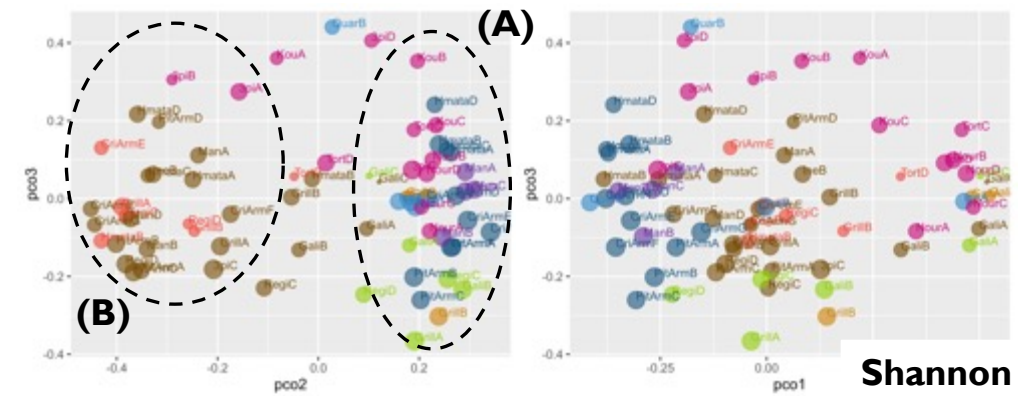


*EXAMPLE of forest inventory design for « Crique Armontabo » site*



# STEP 3: VALIDATION

- 3 distinct groups of habitats are observed according to PCoA1 & PCoA2 :
  - A) Regular plateau forests (41510) ; Highland forests (41530)
  - B) Riparian forests, lowland, wet talweg (41110) ; Transitional forest ecotone - wet facies (41111)
  - C) Mid-mountain forests (41610)
- Similar habitat types are found in each group → Spectral diversity ( $\beta$ -diversity) allows to discriminate forest communities represented in contrasted habitat types
- $\alpha$ -diversity does not show specific patterns among habitat types; at this stage, no relation with field data is observed



# CONCLUSION

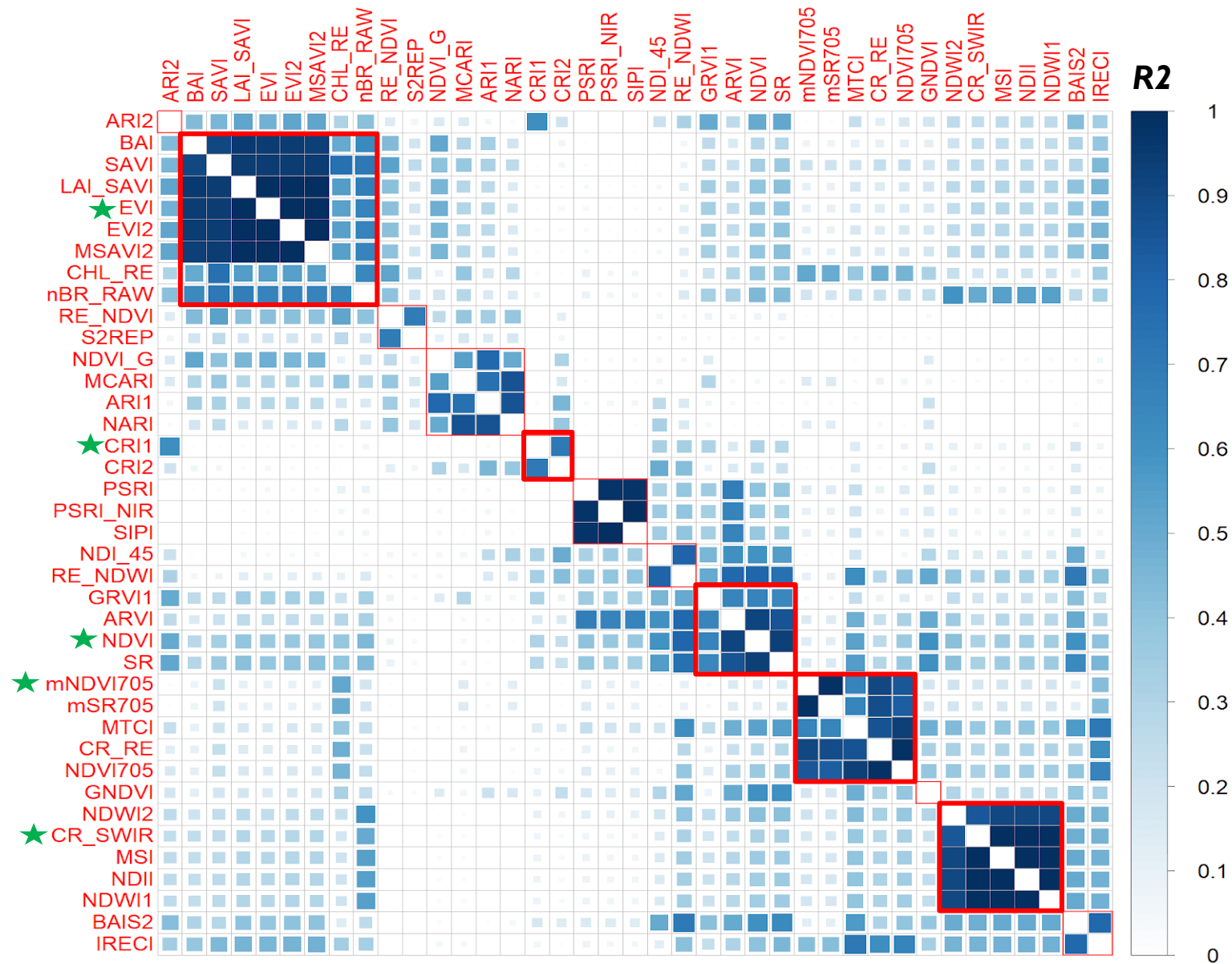
- **Composite images from acquisition obtained during the dry season allow significant increase of data availability over cloudy regions:** relevant for producing images then used as input for *biodivMapR*.
- **The  $\beta$ -diversity image provides original information** about communities spatial distribution, related to contrasted groups of forest habitat types.
- Application & interpretation of *biodivMapR* diversity images will be enlighten by **the definition of which forest characteristics we are looking for** (taxonomic diversity through « habitat » type, leave biophysical or biochemical properties e.g. chlorophyll content, Leaf Area Index, etc.) and **at which geographic scale** (country, bioclimatic-region, natural reserve, etc.)
- **Some perspectives for further research:** application of the method to regional scales, differentiate communities through alternative field data (e.g. biophysical canopy properties), improve precision of diversity metrics computed from forest inventories...



# SPECTRAL INDICES CORRELATION MATRIX

Indice	Formula
<i>Enhanced Vegetation Index</i>	$EVI = 2.5 * ((NIR - RED) / ((NIR) + (6 * RED) - (7.5 * BLUE) + 1))$
<i>Carotenoid Reflectance Index 1</i>	$CRI1 = (1/510nm) - (1/550nm)$
<i>Normalized Difference Vegetation Index</i>	$NDVI = (NIR - RED) / (NIR + RED)$
<i>modified Red-Edge Normalized Difference Vegetation Index</i>	$mNDVI705 = (750 \text{ nm} - 705 \text{ nm}) / (750 \text{ nm} + 705 \text{ nm} - (2 * 445 \text{ nm}))$
<i>Continuum Removal Short Wave Infra Red</i>	$CR\_SWIR = 1610 \text{ nm} / (865 \text{ nm} + (1610 \text{ nm} - 865 \text{ nm}) * (2190 \text{ nm} - 865 \text{ nm}) / (2190 \text{ nm} - 865 \text{ nm}))$

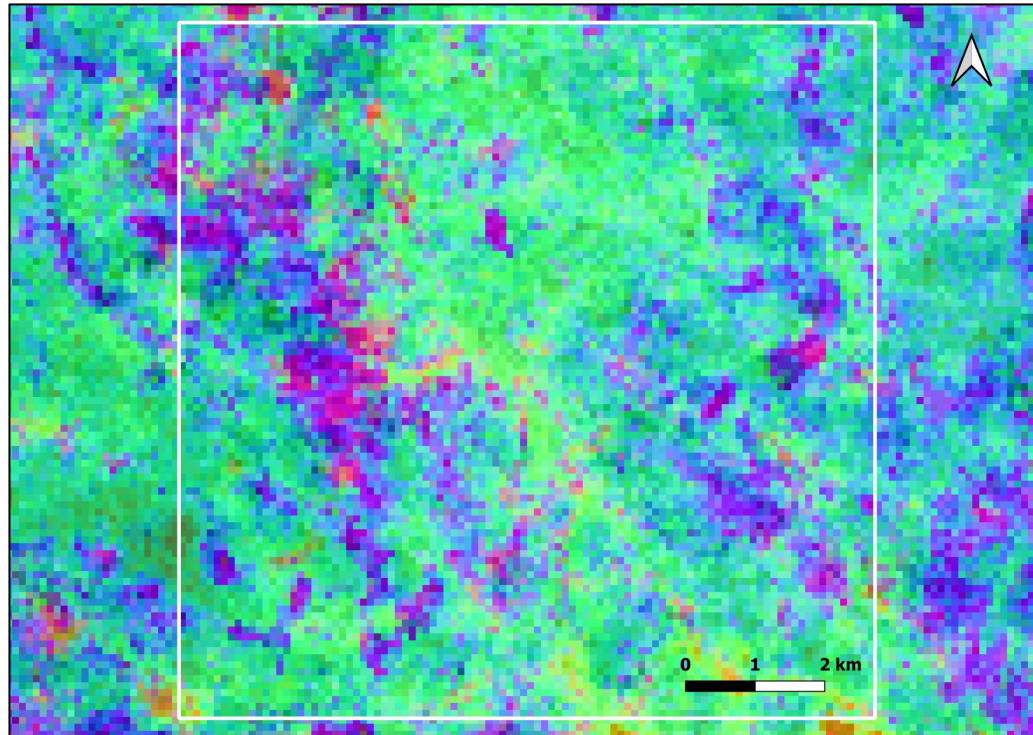
# SPECTRAL INDICES CORRELATION MATRIX



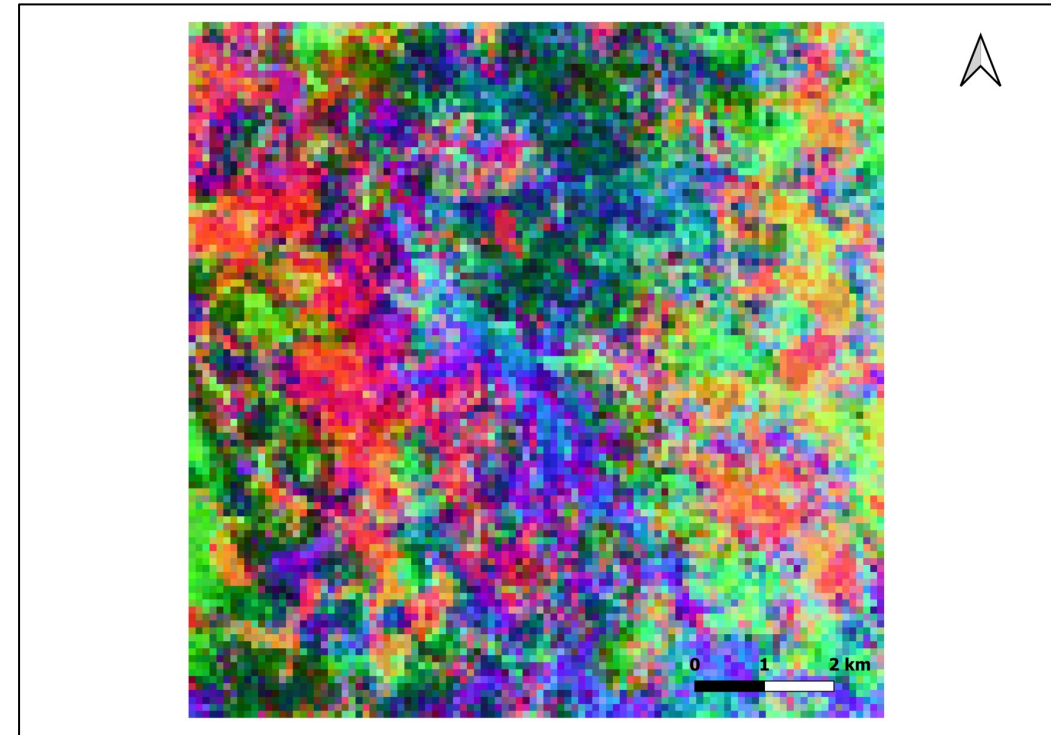
# WHICH GEOGRAPHICAL SCALE FOR WHICH APPLICATION?

- Complexity of  $\beta$ -diversity patterns for a given area is relative to the number of pixels used to determine the “spectral species”
- In larger scales, we observe a « **dilution** » effect

Full tile



Reduced zone (10km<sup>2</sup>)



Example with T21NWF

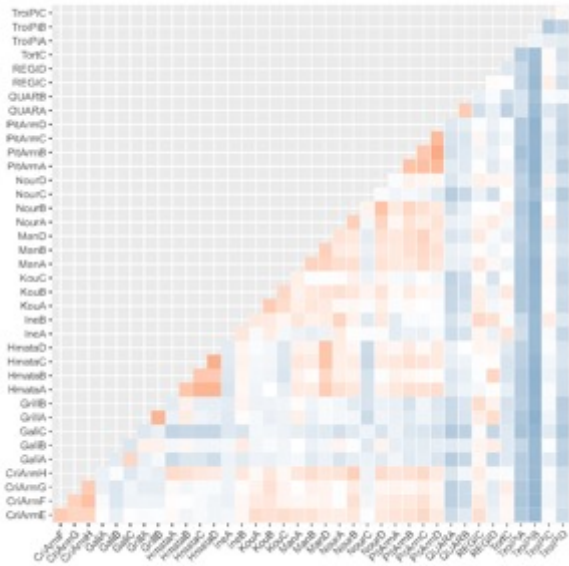
# EXPLORATION OF CORRELATIONS: IMAGES VS INVENTORIES

→ Validation plots aggregated by « layon » (T22NCK – Season 2018)

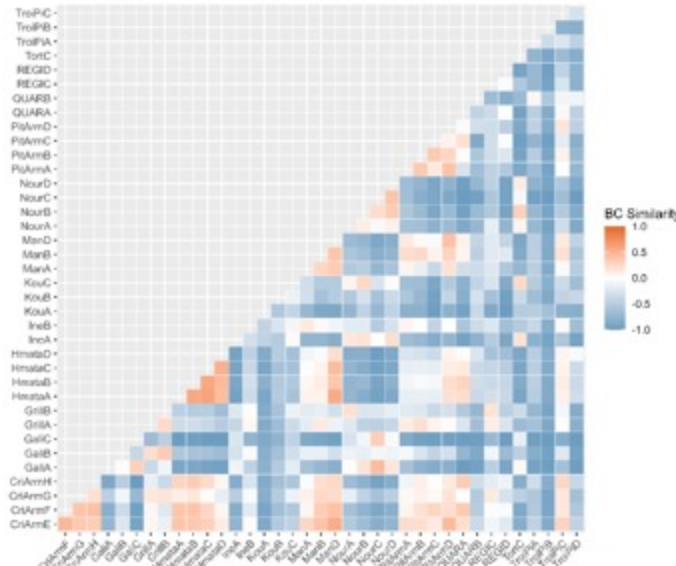
Mantel test	Observation	P-value	Std. Obs.	Expectation	Variance
	0.5	1e-04	5.83	0.0001	0.007

→ **β-diversity**: dissimilarity matrices from the composite image and the forest inventories are positively correlated

Forest inventories

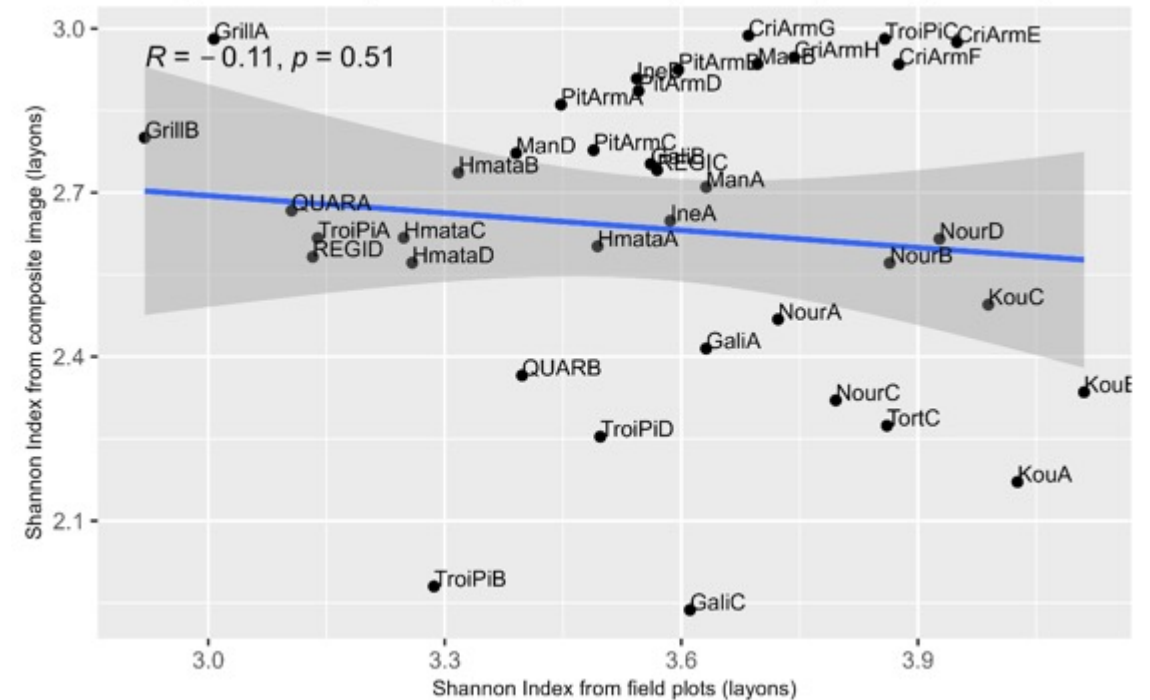


Composite image



Similarity matrices (Bray-Curtis)

Comparison between alpha diversity (Shannon Index) between composite image and field plots



Correlation test	Pearson's r	Spearman's ρ
	-0.11 (pval = 0.50)	-0.087 (pval = 0.60)

→ **α-diversity**: lack of correlation between diversity estimated from forest inventories and from the composite image